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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT

APPLICANT:

Guo, Yajun

FILING DATE:

December 18, 1998

GROUP: JUN 09 1999

1643-1644

TECH CENTER 1600/2900

(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

EX INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
MD	AA	5,669,394	9/23/97	Bergey et al.	128	750	12/22/94
MD	AB	5,789,215	8/4/98	Berns et al.	435	172.3	8/7/97
MD	AC	5,591,828	1/7/97	Bosslet et al.	530	387.3	9/29/94
MD	AD	5,635,602	6/3/97	Cantor et al.	530	391.1	8/13/93
MD	AE	4,697,600	10/6/87	Cardenas et al.	128	753	6/4/86
MD	AF	5,241,969	9/7/93	Carson et al.	128	753	6/10/92
MD	AG	5,582,996	12/10/96	Curtis	435	7.1	5/27/94
MD	AH	4,989,614	2/5/91	Dejter, Jr. et al.	128	752	2/23/88
MD	AI	5,060,658	10/29/91	Dejter, Jr. et al.	128	753	7/30/90
MD	AJ	5,635,600	6/3/97	Fanger et al.	530	387.3	12/27/94
MD	AK	5,484,596	1/16/96	Hanna, Jr. et al.	424	277.1	11/1/93
MD	AL	4,844,893	7/4/89	Honsik et al.	424	85.8	10/7/86
MD	AM	5,141,736	8/25/92	Iwasa et al.	530	387.3	12/27/89
MD	AN	5,637,481	6/10/97	Ledbetter et al.	435	69.6	9/13/93
MD	AO	5,770,429	6/23/98	Lonberg et al.	435	240.2	10/10/95
MD	AP	5,814,318	9/29/98	Lonberg et al.	424	184.1	7/22/93
MD	AQ	4,605,011	8/12/86	Naslund	128	752	3/13/84
MD	AR	5,292,668	3/8/94	Paulus	436	547	12/5/90
MD	AS	5,530,101	6/25/96	Queen et al.	530	387.3	12/19/90
MD	AT	5,693,762	12/2/97	Queen et al.	530	387.3	6/7/95
MD	AU	5,655,541	8/12/97	Vattuone	128	749	12/29/94
MD	AV	5,601,819	2/11/97	Wong et al.	424	136.1	9/14/94

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Guo, Yajun

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1643-1644 TECH CENTER 1600/29

(Use several sheets if necessary)

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
MO	AW	WO 95/16775	22.06.95	PCT		
MO	AX	WO 98/24884	11.06.98	PCT		
	AY	EP 0 885 614 A2	16.06.98	EPO		

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

1	MO	AZ	Vaughan, Tristan J. et al., "Human antibodies by design," <i>Nature Biotechnology</i> , 16:535-539 (1998)
2	MO	BA	Reiter, Yoram et al., "Engineering antibody Fv fragments for cancer detection and therapy: Disulfide-stabilized Fv fragments," <i>Nature Biotechnology</i> , 14:1239-1245 (1996)
3	MO	BB	Guo, Ya-Jun et al., "Effective tumor vaccines generated by <i>in vitro</i> modification of tumor cells with cytokines and bispecific monoclonal antibodies," <i>Nature Medicine</i> , 3:451-455 (April, 1997)
4	MO	BC	Nestle, Frank O. et al., "Vaccination of melanoma patients with peptide- or tumor lysate-pulsed dendritic cells," <i>Nature Medicine</i> , 4:328-332 (1998)
5	MO	BD	Mayordomo, J. I. et al., "Bone marrow-derived dendritic cells pulsed with synthetic tumour peptides elicit protective and therapeutic antitumour immunity," <i>Nature Medicine</i> , 1:1297-1302 (1995)
6	MO	BE	Ostrand-Rosenberg, Suzanne, "Tumor immunotherapy: the tumor cell as an antigen-presenting cell," <i>Current Opinion in Immunology</i> , 6:722-727 (1994)
7	MO	BF	Panettieri, Jr., Reynold A. et al., "Activation of cAMP-Dependent Pathways in Human Airway Smooth Muscle Cells Inhibits TNF- $\alpha$ -Induced ICAM-1 and VCAM-1 Expression and T Lymphocyte Adhesion," <i>The Journal of Immunology</i> , 154:2358-2365 (1995)
8	MO	BG	Holliger, Philipp et al., "Antibodies come back from the brink," <i>Nature Biotechnology</i> , 16:1015-1016 (1998)
9	MO	BH	Bubenik, J. et al., "Immunotherapy of cancer using local administration of lymphoid cells transformed by IL-2 cDNA and constitutively producing IL-2," <i>Immunology Letters</i> , 23:287-292 (1990)
10	MO	BI	Kubin, Marek et al., "Interleukin 12 Synergizes with B7/CD28 Interaction in Inducing Efficient Proliferation and Cytokine Production of Human T Cells," <i>J. Exp. Med.</i> , 180:211-222 (1994)
11	MO	BJ	Li, Yiwen et al., "Costimulation by CD48 and B7-1 Induces Immunity against Poorly Immunogenic Tumors," <i>J. Exp. Med.</i> , 183:639-644 (1996)
12	MO	BK	Johnston, Janet V. et al., "B7-CD28 Costimulation Unveils the Hierarchy of Tumor Epitopes Recognized by Major Histocompatibility Complex Class I-restricted CD8 Cytolytic T Lymphocytes," <i>J. Exp. Med.</i> , 183:791-800 (1996)
13	MO	BL	Haddada, H. et al., "Tumorigenicity of hamster and mouse cells transformed by adenovirus types 2 and 5 is not influenced by the level of class I major histocompatibility antigens expressed on the cells," <i>Proc. Natl. Acad. Sci. USA</i> , 83:9684-9688 (1986)
14	MO	BM	Gilliland, Lisa K. et al., "Universal bispecific antibody for targeting tumor cells for destruction by cytotoxic T cells," <i>Proc. Natl. Acad. Sci. USA</i> , 85:7719-7723 (1988)
15	MO	BN	Dranoff, Glenn et al., "Vaccination with irradiated tumor cells engineered to secrete murine granulocyte-macrophage colony-stimulating factor stimulates potent, specific, and long-lasting anti-tumor immunity," <i>Proc. Natl. Acad. Sci. USA</i> , 90:3539-3543 (1993)
16	MO	BO	Chen, Lieping et al., "Costimulation of Antitumor Immunity by the B7 Counterreceptor for the T Lymphocyte Molecules CD28 and CTLA-4," <i>Cell</i> , 71:1093-1102 (1992)

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17	MD	BQ	Baskar, Sivasubramanian et al., "Constitutive expression of B7 restores immunogenicity of tumor cells expressing truncated major histocompatibility complex class II molecules," <i>Proc. Natl. Acad. Sci. USA</i> , 90:5687-5690 (1993)
18	MD	BQ	Armstrong, Todd D. et al., "Major histocompatibility complex class II-transfected tumor cells present endogenous antigen and are potent inducers of tumor-specific immunity <i>Proc. Natl. Acad. Sci. USA</i> , 94:6886-6891 (1997)
19	MD	BR	Tsioulas, George J. et al., "Expression of HLA Class I Antigens in Sporadic Adenomas and Histologically Normal Mucosa of the Colon," <i>Cancer Research</i> , 53:2374-2378 (1993)
20	MD	BS	Johnstone, Alan et al., "Immunocytochemistry in Practice, Chapter 2, pp. 30-47 (Blackwell, New York, 2d ed.) (1988)
21	MD	BT	Nabel, Gary J. et al., "Direct Gene Transfer for Treatment of Human Cancer," <i>Annals New York Academy of Sciences</i> , 772:227-231 (1995)
22	MD	BU	Allison, James P. et al., "Manipulation of costimulatory signals to enhance antitumor T-cell responses," <i>Current Opinion in Immunology</i> , 7:682-686 (1995)
23	MD	BV	Juriansz, Katrin et al., "Adhesive function of Newcastle disease virus hemagglutinin in tumor-host interaction," <i>International Journal of Oncology</i> , 7:539-545 (1995)
24	MD	BW	Hock, Hanno et al., "Vaccinations with Tumor Cells Genetically Engineered to Produce Different Cytokines: Effectivity not Superior to a Classical Adjuvant," <i>Cancer Research</i> , 53:714-716 (1993)
25	MD	BX	Mattsson, Ragnar et al., "In Vivo Treatment with Interferon-Gamma during Early Pregnancy in Mice Induces Strong Expression of Major Histocompatibility Complex Class I and II Molecules in Uterus and Decidua But Not in Extra-Embryonic Tissues," <i>Biology of Reproduction</i> , 46:1176-1186 (1992)
26	MD	BY	Wang, Jianli et al., "Eliciting T Cell Immunity Against Poorly Immunogenic Tumors by Immunization with Dendritic Cell-Tumor Fusion Vaccines," <i>The Journal of Immunology</i> , 161:5516-5524 (1998)
27	MD	BZ	Vaughan, Tristan J. et al., "Human Antibodies with Sub-nanomolar Affinities Isolated from a Large Non-immunized Phage Display Library," <i>Nature Biotechnology</i> , 14:309-314 (1996)
28	MD	CA	Asher, A. L. et al., "Murine Tumor Cells Transduced with the Gene for Tumor Necrosis Factor- $\alpha$ ; Evidence for Paracrine Immune Effects of Tumor Necrosis Factor against Tumors," <i>The Journal of Immunology</i> , 146:3227-3234 (1991)
29	MD	CB	Yang, Guchen et al., "Antitumor Immunity Elicited by Tumor Cells Transfected with B7-2, a Second Ligand for CD28/CTLA-4 Costimulatory Molecules," <i>The Journal of Immunology</i> , 154:2794-2800 (1995)
30	MD	CC	Toffaletti, Dena L. et al., "Augmentation of Syngeneic Tumor-Specific Immunity by Semiallogeneic Cell Hybrids," <i>The Journal of Immunology</i> , 130:2982-2986 (1983)
31	MD	CD	Ostrand-Rosenberg, Suzanne et al., "Rejection of Mouse Sarcoma Cells After Transfection of MHC Class II Genes," <i>The Journal of Immunology</i> , 144:4068-4071 (1990)
32	MD	CE	MacLean, James A. et al., "Anti-CD3: Anti-IL-2 Receptor Bispecific Monoclonal Antibody," <i>The Journal of Immunology</i> , 150:1619-1628 (1993)
33	MD	CF	Blazar, Bruce R. et al., "In Vivo Blockade of CD28/CTLA4: B7/BB1 Interaction With CTLA4-Ig Reduces Lethal Murine Graft-Versus-Host Disease Across the Major Histocompatibility Complex Barrier in Mice," <i>Blood</i> , 83:3815-3825 (1994)
34	MD	CG	Gansbacher, Bernd et al., "Retroviral Vector-mediated $\gamma$ -Interferon Gene Transfer into Tumor Cells Generates Potent and Long Lasting Antitumor Immunity," <i>Cancer Research</i> , 50:7820-7825 (1990)
35	MD	CH	Bakker, Alexander B. H. et al., "Generation of Antimelanoma Cytotoxic T Lymphocytes from Healthy Donors after Presentation of Melanoma-associated Antigen-derived Epitopes by Dendritic Cells <i>in Vitro</i> ," <i>Cancer Research</i> , 55:5330-5334 (1995)
36	MD	CI	Ockert, Detlef et al., "Newcastle Disease Virus-infected Intact Autologous Tumor Cell Vaccine for Adjuvant Active Specific Immunotherapy of Resected Colorectal Carcinoma," <i>Clinical Cancer Research</i> , 2:21-28 (1996)
37	MD	CJ	Elliott, Bruce E. et al., "Perspectives on the Role of MHC Antigens in Normal and Malignant Cell Development," <i>Advances in Cancer Research</i> , 53:181-245 (1989)
38	MD	CK	Hellstrom, Karl Erik et al., "Can Co-stimulated Tumor Immunity be Therapeutically Efficacious?," <i>Immunological Reviews</i> , 145:123-145 (1995)

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Marianne Dine

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37	MD	CI	von Hoegen, Paul et al., "Modification of Tumor Cells by a Low Dose of Newcastle Disease Virus," <i>Cellular Immunology</i> , 126:80-90 (1990)
40	MD	CM	Linsley, Peter S. & Jeffrey A. Ledbetter, "The Role of the CD28 Receptor During T Cell Responses to Antigen," <i>Annu. Rev. Immunol.</i> , 11:191-212 (1993)
41	MD	CN	Hock, Hanno et al., "Interleukin 7 Induces CD4+ T Cell-dependent Tumor Rejection," <i>J. Exp. Med.</i> , 174:1291-1298 (1991)
42	MD	CO	Colombo, Mario P. et al., "Granulocyte Colony-stimulating Factor Gene Transfer Suppresses Tumorigenicity of a Murine Adenocarcinoma In Vivo," <i>J. Exp. Med.</i> , 173:889-897 (1991)
43	MD	CP	Donnelly, John J. et al., "Immunization with DNA," <i>Journal of Immunological Methods</i> , 176:145-152 (1994)
44	MD	CQ	Young, James W. et al., "Dendritic Cells as Adjuvants for Class I Major Histocompatibility Complex-restricted Antitumor Immunity," <i>J. Exp. Med.</i> , 183:7-11 (1996)
45	MD	CR	Zitvogel, Laurence et al., "Therapy of Murine Tumors with Tumor Peptide-pulsed Dendritic Cells: Dependence on T Cells, B7 Costimulation, and T Helper Cell 1-associated Cytokines," <i>J. Exp. Med.</i> , 183:87-97 (1996)
46	MD	CS	Celluzzi, Christina M. et al., "Peptide-pulsed Dendritic Cells Induce Antigen-specific, CTL-mediated Protective Tumor Immunity," <i>J. Exp. Med.</i> , 183:283-287 (1996)
47	MD	CT	Caux, Christophe et al., "B70/B7-2 Is Identical to CD86 and Is the Major Functional Ligand for CD28 Expressed on Human Dendritic Cells," <i>J. Exp. Med.</i> , 180:1841-1847 (1994)
48	MD	CU	Hurtado, José C. et al., "Potential Role of 4-1BB in T Cell Activation; Comparison with the Costimulatory Molecule CD28," <i>The Journal of Immunology</i> , 155:3360-3367 (1995)
49	MD	CV	Porgador, Angel et al., "Interleukin 6 Gene Transfection into Lewis Lung Carcinoma Tumor Cells Suppresses the Malignant Phenotype and Confers Immunotherapeutic Competence against Parental Metastatic Cells," <i>Cancer Research</i> , 52:3679-3686 (1992)
50	MD	CW	Reeves, Mark E. et al., "Retroviral Transduction of Human Dendritic Cells with a Tumor-associated Antigen Gene," <i>Cancer Research</i> , 56:5672-5677 (1996)
51	MD	CX	Luboldt, Hans-Joachim et al., "Selective Loss of Human Leukocyte Antigen Class I Allele Expression in Advanced Renal Cell Carcinoma," <i>Cancer Research</i> , 56:826-830 (1996)
52	MD	CY	Bode, Christoph et al., "Antibody-directed Fibrinolysis," <i>The Journal of Biological Chemistry</i> , 264:944-948 (1989)
53	MD	CZ	Hathcock, Karen S. et al., "Identification of an Alternative CTLA-4 Ligand Costimulatory for T Cell Activation," <i>Science</i> , 262:905-907 (1993)
54	MD	DA	Guo, Yajun et al., "Effective Tumor Vaccine Generated by Fusion of Hepatoma Cells with Activated B Cells," <i>Science</i> , 263:518-520 (1994)
55	MD	DB	Townsend, Sarah E. & James P. Allison, "Tumor Rejection After Direct Costimulation of CD8+ T Cells by B7-Transfected Melanoma Cells," <i>Science</i> , 259:368-370 (1993)
56	MD	DC	Leach, Dana R. et al., "Enhancement of Antitumor Immunity by CTLA-4 Blockade," <i>Science</i> , 271:1734-1736 (1996)
57	MD	DD	Trojan, Jerzy et al., "Treatment and Prevention of Rat Glioblastoma by Immunogenic C6 Cells Expressing Antisense Insulin-Like Growth Factor I RNA," <i>Science</i> , 259:94-96 (1993)
58	MD	DE	Renner, Christoph et al., "Cure of Xenografted Human Tumors by Bispecific Monoclonal Antibodies and Human T Cells," <i>Science</i> , 264:833-835 (1994)
59	MD	DF	Allison, James P. & Matthew F. Krummel, "The Yin and Yang of T Cell Costimulation," <i>Science</i> , 270:932-933 (1995)
60	MD	DG	Chang et al., <i>Gastroenterology</i> , 112(4):A546 (1997)
61	MD	DH	Ikeda, Mitsunori et al., "Suppressive Effect of Antioxidants on Intercellular Adhesion Molecule-1 (ICAM-1) Expression in Human Epidermal Keratinocytes," <i>J. Invest. Dermatol.</i> , 103:791-796 (1994)

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1643

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42	DI	Hoogenboom, Hennie R. et al., "Antibody phage display technology and its applications," <i>Immunotechnology</i> , 4:1-20 (1998)
43		Golumbek, Paul T. et al., "Treatment of Established Renal Cancer by Tumor Cells Engineered to Secrete Interleukin-4," <i>Science</i> , 254:713-716 (1991)
44	DK	Freeman, Gordon J. et al., "Cloning of B7-2: A CTLA-4 Counter-Receptor That Costimulates Human T Cell Proliferation," <i>Science</i> , 262:909-911 (1993)
45	DL	Wallich, R. et al., "Abrogation of metastatic properties of tumour cells by <i>de novo</i> expression of H-2K antigens following H-2 gene trasfection," <i>Nature</i> , 315:301-315 (1985)
46	DM	Shahinian, Arda et al., "Differential T Cell Costimulatory Requirements in CD28-Deficient Mice," <i>Science</i> , 261:609-612 (1993)
47	DN	Murphy, Erin E. et al., "B7 and Interleukin 12 Cooperate for Proliferation and Interferon $\gamma$ Production by Mouse T Helper Clones That Are Unresponsive to B7 Costimulation," <i>J. Exp. Med.</i> , 180:223-231 (1994)
48	DO	Paglia, Paola et al., "Murine Dendritic Cells Loaded In Vitro with Soluble Protein Prime Cytotoxic T Lymphocytes against Tumor Antigen In Vivo," <i>J. Exp. Med.</i> , 183:317-322 (1996)
49	DP	Luster, Andrew D. et al., "IP-10, a -C-X-C- Chemokine, Elicits a Potent Thymus-dependent Antitumor Response In Vivo," <i>J. Exp. Med.</i> , 178:1057-1065 (1993)
50	DQ	Porgador, Angel et al., "Bone Marrow-generated Dendritic Cells Pulsed with a Class I-restricted Peptide Are Potent Inducers of Cytotoxic T Lymphocytes," <i>J. Exp. Med.</i> , 182:255-260 (1995)
51	DR	Seder, Robert A. et al., "CD28-mediated Costimulation of Interleukin 2 (IL-2) Production Plays a Critical Role in T Cell Priming for IL-4 and Interferon $\gamma$ Production," <i>The Journal of Experimental Medicine</i> , 179:299-304 (1994)
52	DS	Gong, Jianlin et al., "Induction of antitumor activity by immunization with fusions of dendritic and carcinoma cells," <i>Nature Medicine</i> , 3(5):558-561 (1997)
53	DT	Melero, Ignacio et al., "Monoclonal antibodies against the 4-1BB T-cell activation molecule eradicate established tumors," <i>Nature Medicine</i> , 3:682-685 (1997)
54	DU	Hsu, Frank J. et al., "Vaccination of patients with B-cell lymphoma using autologous antigen-pulsed dendritic cells," <i>Nature Medicine</i> , 2(1):52-58 (1996)
55	DV	Linsley, Peter S. et al., "CTLA-4 Is a Second Receptor for the B Cell Activation Antigen B7," <i>J. Exp. Med.</i> , 174:561-569 (1991)
56	DW	DeBenedette, Mark A. et al., "Role of 4-1BB Ligand in Costimulation of T Lymphocyte Growth and its Upregulation on M12 B Lymphomas by cAMP," <i>J. Exp. Med.</i> , 181:985-992 (1995)
57	DX	June, Carl H. et al., "The B7 and CD28 receptor families," <i>Immunology Today</i> , 15:321-331 (1994)
58	DY	Boczkowski, David et al., "Dendritic Cells Pulsed with RNA are Potent Antigen-presenting Cells In Vitro and In Vivo," <i>J. Exp. Med.</i> , 184:465-472 (1996)
59	DZ	Tykocinski, Mark L. et al., "Antigen-Presenting Cell Engineering," <i>American Journal of Pathology</i> , 148:1-16 (1996)
60	EA	Ulevitch, Richard J. et al., "Hyperexpression of Interferon-gamma-induced MHC Class II Genes Associated with Reorganization of the Cytoskeleton," <i>American Journal of Pathology</i> , 139:287-296 (1991)
61	EB	Saito, Ichiro et al., "Expression of Cell Adhesion Molecules in the Salivary and Lacrimal Glands of Sjogren's Syndrome," <i>Journal of Clinical Laboratory Analysis</i> , 7:180-187 (1993)
62	EC	Zöller et al., "Interleukin-1 Production by Transformed Fibroblasts. II. Influence on Antigen Presentation and T-Cell-Mediated Anti-Tumor Response," <i>Intl. J. Cancer</i> , 50:450-457 (1992)
63	ED	Darlington, Gretchen J. et al., "Expression of Liver Phenotypes in Cultured Mouse Hepatoma Cells," <i>Journal of the National Cancer Institute</i> , 64:809-815 (1980)
64	EE	Restifo, Nicholas P. et al., "Molecular Mechanisms Used by Tumors to Escape Immune Recognition: Immunogenethrapy and the Cell Biology of Major Histocompatibility Complex Class I," <i>Journal of Immunotherapy</i> , 14:182-190 (1993)

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Guo, Yajun

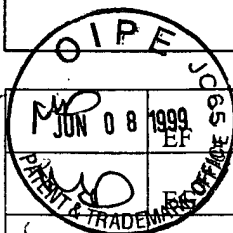
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85	MD	EF	Holliger, Philipp et al., "Retargeting serum immunoglobulin with bispecific diabodies," <i>Nature Biotechnology</i> , 15:632-636 (1997)
86	MD	EF	Merchant, A. Margaret et al., "An efficient route to human bispecific IgG," <i>Nature Biotechnology</i> , 16:677-681 (1998)
87	MD	EH	McGuinness, Brian T. et al., "Phage diabody repertoires for selection of large numbers of bispecific antibody fragments," <i>Nature Biotechnology</i> , 14:1149-1154 (1996)
88	MD	EI	Liu, Margaret, "Transfected human dendritic cells as cancer vaccines," <i>Nature Biotechnology</i> , 16:335-336 (1998)
89	MD	EJ	Tepper, Robert I. et al., "Murine Interleukin-4 Displays Potent Anti-Tumor Activity In Vivo," <i>Cell</i> , 57:503-512 (1989)
90	MD	EK	Fearon, Eric R. et al., "Interleukin-2 Production by Tumor Cells Bypasses T Helper Function in the Generation of an Antitumor Response," <i>Cell</i> , 60:397-403 (1990)
91	MD	EL	Ertel, Christian et al., "Viral hemagglutinin augments peptide-specific cytotoxic T cell responses," <i>Eur. J. Immunol.</i> , 23:2592-2596 (1993)
92	MD	EM	Willems, Fabienne et al., "Interleukin-10 inhibits B7 and intercellular adhesion molecule-1 expression on human monocytes," <i>Eur. J. Immunol.</i> , 24:1007-1009 (1994)
93	MD	EN	Alderson, Mark R. et al., "Molecular and biological characterization of human 4-1BB and its ligand," <i>Eur. J. Immunol.</i> , 24:2219-2227 (1994)
94	MD	EO	Green, Jonathan M. et al., "Absence of B7-Dependent Responses in CD28-Deficient Mice," <i>Immunity</i> , 1:501-508 (1994)
95	MD	EP	<i>Molecular Biology of the Cell</i> , pp. 47-58 & pp. 276-337, Second Edition, published by Garland Publishing, Inc., NY & London, 1989, 1
96	MD	EQ	Huang, Alex Y. C. et al., "Role of Bone Marrow-Derived Cells in Presenting MHC Class I-Restricted Tumor Antigens," <i>Science</i> , 264:961-965 (1994)
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